

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Attorney Docket No.: GLP006/JTN

Anticipated Classification of this Application:

Class <u>53</u> Sub Class <u>557</u>

Prior Application: S.N. 08/638,160

The Commissioner of Patents & Trademarks
Washington, D.C.
U.S.A. 20231

Dear Sir:

This is a request for filing a

- [X] Continuation application
- [ ] Divisional application

under 37 CFR 1.60, of pending prior application Serial No. 08/638,160 filed on April 26, 1996 of William J. Bakker and N.A. Williams for a "Device for Heat Shrinking Film Onto An Open-Topped Container", which is a continuation application of Serial No. 08/089,462 filed on July 12, 1993, which is a continuation application of Serial No. 07/873,844 filed April 27, 1992.

- [x] Enclosed is a copy of the prior application including the oath or declaration as originally filed and an affidavit or declaration verifying it is a true copy.
- 2. [x] Enclosed is a copy of the prior application as filed.
- 3 a). [x] The filing fee is calculated below:

	Numbe Filed	er	Number Extra	Rate	Total
Total Claims	20	- 20 =	0	X \$11	
Independent Claims	3	- 3=		X \$37	=
Multiple Dependencies	No			Flat Rate	=
Base Fee					\$395.00
TOTAL FILING FEE					\$395.00

3 b).	[x]	Small Entity Status. We respectfully request that this application be accorded small entity status pursuant to 37 CFR 1.27 for the purpose of paying fees, on the basis that a verified statement establishing small entity status has been filed in the parent application (37 CFR 1.60).				
4.	[ ]	The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No Three copies of this sheet are enclosed.				
5.	[x]	A cheque in the amount of \$ <u>395.00</u> is enclosed.				
6.	[x]	Cancel in this application original claims <u>10 to 20, 24, 25, 27 to 35</u> of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)				
7.	[x]	Amend the specification by inserting before the first line the sentence: - This is a continuation of application Serial No. 08/638,160 filed April 26, 1996 which is a continuation of application Serial No. 08/089,462 filed July 12, 1993 which is a continuation of Serial No. 07/873,844 filed April 27, 1992				
8.	[]	Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file (May only be used if signed by person authorized by Rule 138 and before payment of base issue fee.).				
8 a).	[ ]	New formal drawings are enclosed.				
8 b).	[ ]	Priority of application Serial no filed in is claimed under 35 U.S.C. 199.				
9.	[]	The prior application is assigned of record to				
10.	[x]	The power of attorney in the prior application is				
		James T. Nenniger 32,670 Gregory A. Piasetzki 37,056				
10 a).	[]	The power appears in the original papers in the prior application.				

- 10 b). [x] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- 10 c). [x] Address all future communications to James T. Nenniger or Gregory A. Piasetzki at Piasetzki & Nenniger, 120 Adelaide Street West, Suite 2308, Toronto, Ontario, Canada, MAH 1T1, Telephone (416) 955-0050.
- 11. [x] A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively, beginning with the number next following the highest numbered original claims in this prior application.)
- 12. [x] I hereby verify that the attached papers are a true copy of prior application Serial No. 08/089,462 as originally filed on July 12, 1993 and that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the Unites States Code and that such wilful false statements may jeopardize the validity of the application or any patent issuing thereon.

November 21,1997 Date

Piasetzki & Nenniger James T. Nenniger Registration No. 32,670

JTN/JW

Attorney of Record

# PIASETZKI & NENNIGER BARRISTERS & SOLICITORS - PATENT & TRADEMARK AGENTS

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Serial No.: (to be assigned) (Continuation of 08/638,160) Applicant: **BAKKER, W.** 

Title: DEVICE FOR HEAT
SHRINKING FILM ONTO AN OPEN-

TOPPED CONTAINER

Filed: Concurrently with Continuation

**Application** 

Examiner: (to be assigned)
Reference: **GLP007/JTN**Date: **November 21, 1997** 

# PRELIMINARY AMENDMENT UNDER 37 C.F.R. 1.115

The Commissioner of Patents & Trademarks Washington, D.C. 20231 U.S.A.

Dear Sir:

The Applicant submits this Preliminary Amendment under 37 C.F.R. 1.115 for the continuation application filed herewith.

#### IN THE CLAIMS

Please add the following claims to the continuation application. The claims have been numbered consecutively beginning with claim 36, which is the next numbr following the highest numbered original claim in the prior filed application.

36. A cover for sealing an open-topped container comprising:
a piece of heat shrinkable film shaped and sized to cover the open

top of said container and to have a downwardly extending portion around an upper rim of said container, wherein said downwardly extending portion includes a first means to absorb radiant energy, to transfer heat to said downwardly extending portion upon said first means being exposed to a radiant energy source wherein said downwardly extending portion is heat shrunk onto said container to form a spill resistant cover upon exposure to a radiant energy source.

- 37. The cover of claim 36 wherein said first means comprises adapting said thin film to absorb energy.
- 38. The cover of claim 36 wherein said first means comprises said film having tint, said tinting being adapted to absorb radiant energy.
- 39. The cover of claim 36 wherein said first means comprises a coating on said film said coating being adapted to absorb radiant energy.
- 40. The cover of claim 39 wherein said radiant energy absorbent coating is printed into said film.
- 41. A roll of heat shrinkable film for use in a device for forming spill resistant covers on open-topped containers, said roll comprising:

a plurality of severable pieces of heat shrinkable film formed in a continuous film, each piece being shaped and sized to cover the open top of said container and to have a downwardly extending portion around an upper rim of said container wherein said downwardly extending portion includes a first means to absorb radiant energy to transfer heat to said downwardly extending portion upon said first means being exposed to a

radiant energy source wherein said downwardly extending portion is heat shrunk onto said container to form a spill resistant cover upon exposure to a radiant energy source.

42. The cover of claim 40 wherein said radiant energy is infrared radiation and said radiant energy absorbing coating is an ink.

# **REMARKS**

It is respectfully submitted that the above addition of claims 36 to 42 are directed to matters shown and described in the prior application and do not add any new matter not previously described.

Respectfully submitted,

James T Nenniger Reg. #32,670

JTN/jw PREAMEND DOC BERESKIN & PARR

<u>Title:</u> Device for Heat Shrinking Film Onto an Open-Topped Container

Inventor(s): William J. Bakker
N. A. Williams

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#### Device for Heat Shrinking Film <u>Title:</u> Onto an Open-Topped Container

#### FIELD OF THE INVENTION:

5 This invention relates to a device which may be used to seal food into open-topped containers. particular, this invention relates to a device suitable for heat shrinking film onto such open-topped containers to seal food or drink inside the container.

## 10 BACKGROUND OF THE INVENTION:

Presently in the fast food drink industry it is typical to serve a drink in a paper, plastic or other disposable cup topped with a preformed plastic lid. plastic lid fits tightly over the lip formed at the top 15 of, for example, a paper drink cup, and may include apertures to permit straws or openings to be formed in the lid to directly drink the contents of the cup.

Unfortunately, there are many associated with the use of these plastic lids. 20 example, the lids are generally expensive. Further, the lids are bulky and create problems in storage and in Further, the seal formed by the lids is disposal. dependant upon the lid being placed on properly, and can leak if not properly placed. Finally, the handling of the lid is not completely hygienic.

In order to overcome these problems, various devices and methods have been proposed in which a cover is placed on an open-topped container and then heated to shrink it into sealing engagement with the top of such a 30 container. Examples of such devices can be found in the following United States patents: U.S. Patent Nos. 3,260,775; 3,354,604; 3,460,317 3,491,510; 3,494,098; 3,507,093; 3,621,637; 3,877,200; 3,838,550; 3,916,602; 4,035,987; 4,184,310 and 4,562,688. While the solutions

proposed by these prior devices and methods interesting, they fail to provide a sufficiently cost efficient, easy and inexpensive alternative to preformed rigid plastic lids. As a consequence, rigid plastic lids 5 remain in widespread use. Some of the main failings of these prior devices are that they are bulky, noisy, unresponsive, and expensive. Heating systems comprising blowing air over a hot element and then onto a film require large amounts of unnecessary heat, even when in which makes temperature control standby mode, difficult. Further, continuous elevated temperatures are expensive to maintain and may be deleterious to the immediate environment.

#### SUMMARY OF THE INVENTION

Aside from the benefits of increased hygiene and reduced waste, the present invention is directed to providing a practical device which has commercial utility. One aspect of the present device is to provide an energy efficient way of sealing open-topped containers which 20 avoids any substantial build-up of heat. An intermittent source of radiant energy is used, and energy is directed onto an energy absorber located at the specific place where heat is required. Thus, heat is originated where it is needed, when it is needed and a cooler, quieter, safer 25 and more efficient device results.

The present invention provides a device for heat shrinking a cover onto an open-topped container, said device comprising:

a housing adapted to receive said container; and having a strip of heat shrinkable thin film; 30

a cutting means positioned against said thin film for cutting said thin film upon said thin film being urged onto said cutting means by said container;

a hood for holding a cut piece of said film in

place across said open top of said container, wherein said cut piece includes a portion extending from under said hood downwardly around an upper outer rim of said container;

- a first radiant energy source for directing energy toward said downwardly extending portion of said cut piece of film;
- a first means to absorb radiant energy to transfer heat to said downwardly extending portion of said 10 cut piece of film; and
  - a switch means for intermittently energizing said first radiant energy source whereby said downwardly extending portion of said cut piece of film is shrunk onto said rim;

## 15 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of a device according to the present invention in use;

Figure 2 is a front sectional view of an opentopped container according to the present invention with 20 a heat shrunk cover in place;

Figure 2a is a top view of the container of Figure 2.

Figure 3 is a front view of the container of Figure 2 having a darkened upper band;

25 Figure 4 is a top view of the device of Figure 1, with the top wall broken away to show the contents;

Figure 5 is a sectional view taken along lines 5-5 of Figure 4;

Figure 6 is a view similar to Figure 5 with the 30 container in a raised position;

Figure 7 is a view of a part of the device of Figure 1; and

Figure 8 is a view along lines 8-8 of Figure 7;
Figure 9 is an alternate configuration for a
35 knife element shown in Figure 5;

Figure 10 is a view along lines 10-10 of Figure

Figure 11 is a schematic sketch of an electronic control circuit for the present invention;

Figure 12 is an alternate embodiment of a micro-5 switch system according to the present invention;

Figure 13a is a view along lines 13-13 of Figure 12 in a first position; and

Figure 13b is a view along lines 13-13 of Figure 12 in a second position.

# 10 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Figure 1 shows a device 10 for heat shrinking a thin film onto an open-topped container 12. The housing 10 includes an opening 14 of sufficient size to allow placement of the container 12 within the housing 10. In the embodiment of Figure 1, placement of the container 12 within the opening 14 is accomplished manually, illustrated by a hand 16.

Turning to Figure 5, there is shown a crosssectional schematic view of the operational components of 20 the device 10 of Figure 1. The container 12 is shown in the opening 14. The opening 14 is defined by side walls 18 and 20 of the device 10. Shown on the right-hand side is a roll of thin plastic film 22 on an axle 24. film 26 passes over a roller 28 across the top of the container 12 across a second roller 30 and onto a take-up 25 axle 32. Shown at 34 is a rewind motor. It will be appreciated that the rewind motor 34 can rotate the pickup axle 32 in the direction of arrow 36 which will advance the film 26 across the top of the container 12 and cause 30 the roll of film 22 to rotate in the direction of arrow 38. Alternatively, the advancing of the film 26 could be accomplished manually by turning a lever or knob mounted on take-up axle 32.

The film 26 is preferably a bi-axially oriented shrink film having a preferred thickness of between 60 to 100 gauge. Good results have been achieved with a 75 gauge polyvinyl chloride film purchased from Reynolds

Metals Company at Richmond, Virginia. Other films, such as copolymers, polyolefins and the like may also be appropriate. The film, to be most useful, must be foodgrade contact-approved by the appropriate regulatory authorities. A 7½" outer diameter roll of 75 gauge shrink film, which includes a 3" diameter fibre core, will yield approximately 8,000 covers according to the present invention.

In Figure 5, the container 12 is located in a locator identified generally at 40. The locator 40 is shown in more detail in Figures 7 and 8. Turning to Figure 7, there is shown a plate 42 having a pair opposed guides 44 and 46. The plate 42 also has an opening 48 located between the guides 44, 46. The guides 44 and 46 are substantially identical and therefore the following discussion in respect of guide 44 applies equally to guide 46.

The guide 44 comprises a rub rail 50 which contacts an outer edge of a container 12. Extending from 20 plate 42 are two posts in respect of each guide 44, 46. In respect of guide 44 there is a stop post 52 and a guide post 54. A slot 56 is formed in the guide 44 and a spring 58 is housed between the guide post 54 and the end of the slot 56. A pin 59 may be used to secure one end of the 25 spring 58. A washer 60 is used to retain the other end of the spring 58 within the slot 56. The washer 60 is placed around the guide post 54. Between the free end 55 of guide post 54 and the washer 60 is a further spring 61. spring 61 allows the guide plate 44 to articulate away 30 from the plate 42 to facilitate removal of the container 12 from the device 10.

It will now be appreciated that the guide 44 can move laterally in the direction of double ended arrow 62 guided by means of the stop 52 and the guide post 54 with the slot 56. It will also be appreciated that the curved portion 64 of the rub rail 50 will provide an indication to anyone inserting a container 12 into the locator that

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the container is appropriately located. Appropriately in this sense means centered under the plate opening 48.

Turning to Figure 8, the locator 40 of Figure 7 is shown in cross-sectional view. As can be seen, the guides 44 and 46 are positioned on adjacent side edges of a container 12. The plate opening 48 is shown together with the plate 42. The thin film 26 is also shown stretched across the top 13 of the container 12.

It can now be appreciated that the container 12 can be moved in the direction of double ended arrow 64 10 into position beneath plate opening 48. During this period, the guides 44 and 46 will gradually open and then about the periphery of the container 12. Thereafter, as shown in Figure 8, the container 12 is free to be moved in the direction of double ended arrows 66 as will be discussed below. It will be appreciated that containers 12 of varying diameter can thus be accommodated by the instant invention, since all containers will be centered by the locator beneath the plate opening 48. This is desirable in a food services environment where cup 20 size selections typically include small, medium and large.

Turning now to Figure 5, a container 12 is shown centered in locator 40. A first radiant energy source 68 and a second radiant heater 70 can now be explained. Located above the centered and located container 12 is a top shrink hood 72. The second radiant heater 70 is located within the top shrink hood 72. The top shrink hood 72 includes a glass shield 74 and a heat transfer means 76. In some circumstances the glass shield 74 may not be required, however, to prevent the possibility of splashes reaching the second radiant energy source 70, it is preferred. It may not be necessary to use glass. Plastic or other transparent substances may be appropriate. Good results have been achieved when the heat transfer means 76 is made from a screen aluminum material

5 transfer means 76 is made from a screen aluminum material painted a dark colour, such as black. The dark aluminum heats and cools quickly which is desirable in the

circumstances.

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A pierce tool 78 is also shown extending outwardly from the heat transfer means 76. The purpose of the pierce tool 78 is to make a vent opening in the thin film to allow gases such as carbon dioxide from a soft drink to escape the container.

An alternative to pierce tool 78 is to form small opaque portions 79 in the shrink film. These opaque portions or "dots" will cause a hot point which may perforate the film as more fully explained below. If desired the hot points can be made in a specified pattern to form a sipping opening or the like, as shown in Figure 2A. Also shown in Figure 2 is a straw 122 with a pointed end 124 for piercing the film, shown in place as 126. A fluid, such as a soft drink is shown at 128.

Also shown in Figure 5 is a drive belt 80 which connects a pulley 82 with a motor. Attached to the pulley 82 are a pair of arms 84. The arms 84 rotate when the pulley 82 is rotated by the belt 80. Depending from the arms 84 about pivot points 86 are pivot arms 88. Pivot arms 88 include a roller 90 at one end and the first radiant energy source 68 at the other end. If preferred, a reflector may be provided such as 92 around the first radiant energy source 68.

25 Also show in Figure 5 is a knife or film cutting blade 94 to which is attached a heating element 96. The heated blade 94 ensures a quick clean cut of the thin film, upon the thin film contacting the blade 94. As can be seen from Figure 5, the blade 94 is below the top hood 30 72, so the film will be cut to shape just prior to or about the same time as the container 12 contacts the hood 72. Good results have been achieved when the blade is made from a two point center face steel cutting rule, and maintained at a temperature of between 275°F to 400°F. 35 This format appears to limit smoke and fume generation.

The knife 94 may be circular in shape, or may be as shown in Figures 9 and 10. It will be noted that the

knife 94 in Figures 9 and 10 includes a rounded oblong section 95. This will result in a similarly shaped section being formed in a cut piece of film, as described below, which can be used as a convenient pull tab for removing a cover which has been shrunk onto a container 12. In the preferred embodiment the thin film 26 has a width greater than the width of the knife 94 so that a trim 27 (see Figure 5) is left after the cut is made, and the trim 27 is strong enough to allow the film 26 to be advanced by a tensile force without tearing.

Turning now to Figure 6, the operation of an instant device can now be understood. In Figure 6 the container 12 has been raised in the direction of arrow This has had the effect of pushing the film 26 upwardly into engagement with the knife heated film cutter blade 94. This has caused a cut portion of the film shown as 102 to be draped across the top 13 of the container 12. At this point the hood 72 is holding the cut piece of film 102 generally in place. As the container 12 is raised 20 further, the hood 72 is also raised. Rollers 90 then contact a ledge 104 formed on the outer surface of the Further upward movement causes the movement of the first radiant energy source 68 about the pivot point 86 until the first radiant energy source 68 is closely adjacent to a draped over edge of cut portion 102 shown as 103. Contact is then made at a limit switch, as explained below in respect of Figure 11, which energizes a motor 99 (shown in Figure 4). Upon energization of the motor 99, the belt 80 revolves causing the rotating arms 84 to revolve rotating the first radiant energy source 68 about 30 periphery of the top of the container Simultaneously with the energization of the motor 99 and the rotation of the first radiant energy source 68, the first radiant energy source 68 is energized to cause 35 radiant energy to be directed towards the dangling edge 103 of the cut portion 102 of the said film 26.

It will be appreciated that the preferred

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invention causes the first radiant energy source 68 to move into position closely adjacent the downward edge 103 of the cut portion 102. Such movement is preferred because radiant energy obeys the inverse squared rule in which the amount of energy is proportional to an inverse of the square of the distance from the source. By locating the first radiant energy source 68 close prior to being energized, more energy can be usefully used and focused away, for example, from an operator's hands.

O Also, by the pivoting action, the operator's hands are kept clear of the energy source 68, until the container 12 is in position.

After a predetermined length of time, the first radiant energy source 68 is de-energized and the second radiant energy source 70 is energized by a timer 120. The second radiant energy source 70 energy is directed through the glass shield 74 onto an energy absorbing body 76. This transfer of heat causes a shrinking of the top portion across container 12 of the cut portion 102. Thereafter, the sealed container 12 can be lowered and removed from the apparatus.

A preferred type of energy absorbing body 76 is a darkened aluminum screen. The body 76 is placed very closely adjacent the top portion of the cut section 102 and may be in contact therewith. The darkened screen or body 76 absorbs energy and transfers it onto the top portion. It will be appreciated that aluminum is a suitable material because it will cool rapidly, when the energy source 70 is shut off, thereby preventing premature shrinkage of a top portion on a subsequent container upon being first introduced into the hood 20.

It has been found that the preferred radiant energy sources are Tungsten Halogen Lamps. About 70% of the energy produced by these lamps is in the preferred wavelength range of the infrared (750 millimicrons and beyond).

These lamps are compact, durable, inexpensive

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and readily available. Lamps in the range of 200 to 300 watts are suitable. It will be appreciated by those skilled in the art that other energy sources which produce sufficient infrared radiant energy may also be used.

It is also to be noted that the radiant energy emitted by such an energy source can be turned on and off instantaneously and focused and directed to the location it is desired, without stray heat energy being produced, and the energy source does not have to be on continuously, or on standby in readiness for a container, which is the case of prior art hot air systems.

Turning now to Figure 4, the belt 80, pulley 82 and drive motor 99 are all shown. Also are shown two rotating arms 84 and two first radiant heaters 68. It will be appreciated by those skilled in the art that fewer or more radiant heating elements could be used according to space requirements and preference. However, when the drive motor operates at 100 rpm, two radiant heat means 68 provides good results. By varying the size of the pulley 82, the speed of revolution of the first radiant energy source 68 can also be varied. Good results have been achieved when the pully 82 is configured to cause the first radiant energy source 68 to rotate at 100 rpm.

It will also be appreciated that the spinning first radiant energy source 68 could be replaced with a row of fixed position bulbs. However, the process would be slightly more difficult to control, since the total energy output would likely be greater, and more energy expensive. Thus, the moving first energy source 68 is preferred.

Turning to Figure 11, a schematic of an electrical system 150 for the instant invention is disclosed, which sets out in more detail the interaction between the container 12 and hood 72 location, and the activation of the various components described above.

One of the characteristics of the electrical design is that it must compensate for the varying rates

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that the container 12, which is moved by a human hand, enters and leaves the device 10.

In the preferred embodiment the raising and lowering of hood 72 and the motion of locator 40 will trigger micro-switches which engage timers as described below. Certain events must take place as hood 72 is raised and other events must take place when hood 72 is lowered.

Referring to the wiring system 150, F1 is a fuse. When the main switch 170 is turned on, a pilot light R lights up. Then, switches S1 and S2 are manually turned on. As shown, S1 turns on resistance heater 96, which heat the knife 94. A thermostatic control is shown at 97. When S2 is turned on, it activates motor 99 and also signals timer T1. Also shown is a relay TM-1. The timer T1 engages motor 34 and advances the film 26 for a single "space", which is determined by the time set on timer T1. Thus when the machine is activated and ready to operate by turning on switch S2, a fresh piece of film 26 is automatically presented. Switch LS1 is situated on plate 42, (shown in ghost outline in Figure 7) so that when guide 46 rotates outwardly on withdrawal of the container, LS1 also signals timer T1 which engages motor 34 and advances the film in a like manner.

Also shown are switches LS2 and LS3 which close when hood 72 moves upward. These switches activate a second timer T2 which activates relay TM-2 which in turn activates first radiant energy source 68. On the downward motion switch LS3 opens and thereby prevents timer T2 from activating source 68 again.

On the downward motion of hood 72, switch LS4 closes, which activates timer T3 which through a relay TM-3, activates radiant energy source 70 for a predetermined time.

Figure 12 shows in schematic form the 35 microswitch interconnections. On the left hand side of Figure 12 are the belt 80 around the pulley 82. A shaft 200 extends upwardly from the top hood 72. A connecting

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rod 202 is attached to shaft 200, and will rise and subside with the hood 72 being raised and lowered. Remote from shaft 200 there is a rack 204 connected to the rod 202 which interacts with a pinion 206, in a manner shown by double ended arrows 208. Also shown are a cam shaft 210 attached to eccentric cams 212.

Shown in Figures 13A and 13B are the means of closing electrical circuits upon rotation of the cam shaft 210 by the pinion 206. A secondary roller 214 is located on a pivot arm 216. When cam 212 is rotated in one direction an electrical spring clip 218 is forced into contact with an electrical contact 220 closing a circuit. Upon being rotated in an opposite direction, the cam 212 urges the pivot arm 216 up and out of the way, and does not close the circuit, as shown in Figure 13B.

It can now be appreciated that the present invention uses radiant energy from the radiant energy sources to effect shrinkage. Radiant energy is preferred, travels because it relatively unimpeded transparent mediums such as air or transparent film. preferred radiant energy source is a Tungsten-Halogen bulb, which is described in more detail above. The present invention has process parameters for heating which depend upon an absorbing means for the radiant energy, and in particular, how close any absorbing means conforms to a theoretically ideal "black body". An ideal "black body" completely absorbs all radiant energy that strikes it and thus is capable of radiating that same energy outward.

The way in which the present invention seals
30 heat shrinkable thin film onto a container, is to employ
a first means to transfer heat to the downwardly extending
portion of the cut piece of thin film. In this sense, the
first means can comprise adapting the thin film to absorb
energy, interposing an absorbent body adjacent to thin
35 film, or adapting the area of the container just below the
rim to become energy absorbing. The thin film can be
adapted to absorb energy by being made from a tinted

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material, or by being coated with an energy absorbent coating, for example, printing. The ability of the opaque or coated film to absorb radiant energy will vary depending upon what type of tinting or coating is used.

5 A darker or more opaque film will absorb more energy.

An example of a preferred interposed absorbent body is a darkened aluminum screen 112, which moves closely adjacent the edge 103. The darkened portion of the screen will absorb energy and then radiate it, giving rise to heat. The heat will be transferred to the air adjacent to the film, then to the film which will shrink the film.

The container may be adapted to absorb radiant energy, and thus produce heat in a preferred location, by including a darkened band 15 in the area where heat generation is desired, such as just below the rim. For aesthetic reasons, black bands may not be acceptable, but other coloured bands will also work. With a cooler colour, the exposure to the radiant energy source may need to be slightly longer. However, the length of time of exposure to the radiant energy source can be adjusted in the present invention through adjustments made to the timer T2. A gap 17 may be incorporated into the band 15 to permit the end user to lift the shrunken cover off the container if so desired.

In some circumstances, it may be desirable to urge the film onto the cup. Therefore, the present invention also comprehends the use of a spring wire 110, which trails (or leads) the revolving first radiant heat means 68, and urges the edge 103 into contact with the container 12 just below the top 13.

It will be appreciated by those skilled in the art that the foregoing description relates to a preferred embodiment and that various modifications can be made without departing from the broad scope of the appended claims. Some of these modifications have been discussed above and others will be apparent to those skilled in the

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#### WE CLAIM:

- 1. A device for heat shrinking a cover onto an open-topped container, said device comprising:
- a housing adapted to receive said container; and having a strip of heat shrinkable thin film;
  - a cutting means positioned adjacent said thin film for cutting said thin film upon said thin film being urged onto said cutting means by said container;
- a hood for holding a cut piece of said film in place across said open top of said container, wherein said cut piece includes a portion extending from under said hood downwardly around an upper outer rim of said container;
- a first radiant energy source for directing 15 energy toward said downwardly extending portion of said cut piece of film;
  - a first means to absorb radiant energy to transfer heat to said downwardly extending portion of said cut piece of film; and
- a switch means for intermittently energizing said first radiant energy source whereby said downwardly extending portion of said cut piece of film is shrunk onto said rim;
- 2. A device as claimed in claim 1 further including 25 a timer means for de-energizing said first radiant energy source after a predetermined time and for energizing a second radiant energy source located in said hood; said second radiant energy source directing energy onto a second means to absorb radiant energy, to transfer heat to 30 the thin film across the top of the container;

whereby said cut piece of film is shrunk across the top of said container after being shrunk around the rim of said container to form a spill resistant cover.

- 3. A device as claimed in claim 1 wherein said first means to transfer heat to said downwardly extending portion of said cut piece of film comprises adapting said film to absorb at least some of said radiant energy.
- A device as claimed in claim 3 wherein said film is adapted by being made at least partially opaque to said radiant energy, and upon being exposed to said radiant energy said opaque film heats up.
- 5. A device according to claim 4 wherein said thin 10 film is made at least partially opaque by being tinted.
  - 6. A device according to claim 4 wherein said thin film is made at least partially opaque by being coated with an energy absorbing coating.
- 7. A device as claimed in claim 1 wherein said first means to transfer heat to said downwardly extending portion of said cut piece of film comprises mounting an energy absorbent body adjacent to said thin film.
- 8. A device as claimed in claim 7 in which said energy absorbing body comprises a darkened aluminum 20 screen.
  - 9. A device as claimed in claim 1 in combination with a container, and wherein said first means to transfer heat to said downwardly extending portion of said cut piece of film comprises adapting said container to absorb said radiant energy adjacent said downwardly extending portion.
  - 10. A device as claimed in claim 9 wherein said container is adapted by having an energy absorbent band located below an upper rim thereof.

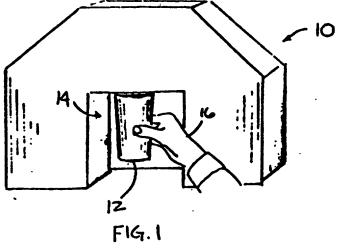
- 11. A device as claimed in claims 3, 7 or 9 wherein said housing includes a dispensing reel and a take-up reel for a continuous strip of said thin film.
- 12. A device according to claim 11 wherein said thin 5 film is a biaxial film of between 40 to 120 gauge thickness.
- 13. A device according to claim 12 wherein said thin film has a width greater than the width of said knife to form a trim after said cut portion is made, said trim being sufficient to allow said film to be advanced by a tensile force in said trim without tearing.
  - 14. A device according to claims 3, 7 or 9 further including a locator which comprises at least one guide plate.
- 15 15. A device according to claim 14 wherein said locator comprises an opposed pair of guide plates, at least one of which is spring loaded.
- 16. A device according to claim 14 wherein said locator comprises an opposed pair of spring loaded guide 20 plates having curved container contacting surfaces for centering the container.
  - 17. A device according to claims 3, 7 or 9 wherein said knife means comprises a heated steel rule.
- 18. A device according to claim 17 wherein said 25 knife means defines a cut piece of thin film having a symmetrical overhang around all edges of said container.
  - 19. A device according to claim 17 wherein said knife is heated by a resistance heater to a temperature of between  $275^{\circ}F$  and  $400^{\circ}F$ .

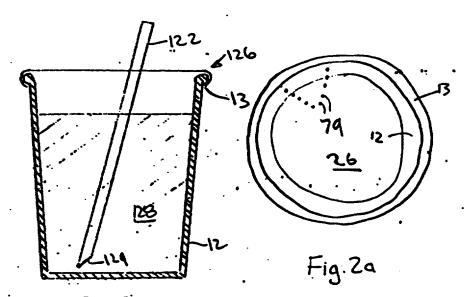
- 20. A device according to claims 3, 7 or 9 wherein said hood covers said top film to prevent said top film from being directly exposed to energy from said first radiant energy source.
- 5 21. A device according to claim 2 wherein said second means to absorb radiant energy comprises means to render said film at least partially opaque to radiant energy from said second radiant energy means.
- 22. A device as claimed in claim 21 wherein said 10 thin film is tinted to absorb energy from said second radiant energy source.
  - 23. A device as claimed in claim 21 wherein said thin film is printed to absorb energy from said second radiant energy source.
- 15 24. A device according to claim 2 wherein said second means to absorb radiant energy comprises a darkened aluminum screen.
- 25. A device according to claim 2 further including a piercing tool to make at least one hole in said cut 20 piece of thin film.
  - 26. A device as claimed in claim 21 wherein said film includes a pattern of discrete opaque dots forming a predetermined pattern to cause perforations upon exposure to said second radiant energy means.
- 25 27. A device according to claim 1 further including a motor, a drive means connecting said motor to said first radiant energy source and wherein said switch means energizes said motor as well as said first radiant energy source, and said first radiant energy source is rotated 30 around said rim.

- 28. A device according to claim 27 wherein said first radiant energy source is pivotally mounted on an arm, and said hood is displaceable, and on displacement of said hood, said arm is pivoted about a pivot point to cause said first radiant energy source to be positioned adjacent said downwardly extending portion of said cut piece of thin film.
- 29. A device according to claim 28 in which said top hood further includes a ledge, and said arm further includes a roller which rolls along said ledge upon rotation of said first radiant energy source.
  - 30. A device according to claim 27 when said first radiant energy source rotates at about 100 rpm.
- 31. A device according to claim 28 wherein said arm 15 further includes a means for urging said portion of said film into contact with said rim.
- 32. A device as claimed in claim 10 wherein said energy absorbent band has at least one gap formed therein, to facilitate removal of a shrunk piece of film from said 20 container.
  - 33. A device as claimed in claim 1 wherein said switch means comprises at least one contact operated limit switch.
- 34. A device as claimed in claim 2 wherein said 25 timer means allows said first radiant energy source to be energized for at least one full revolution.
- 35. A device as claimed in claim 34 wherein said device includes at least two first radiant energy sources and said timer means allows said two first radiant energy sources to be energized for at least one half revolution.

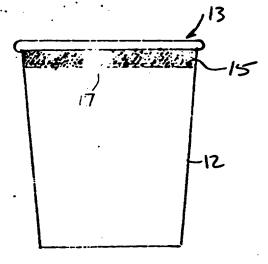
# ABSTRACT OF THE DISCLOSURE

A device for heat shrinking thin film onto opentopped containers to form spill-resistant covers is shown. A radiant energy source is intermittently energized in association with timers to direct radiant energy towards the thin film. An energy absorbing body is associated with the thin film to absorb energy and create heat adjacent to the film which in turn causes the thin film to The energy absorbing body can be the adaptation of the thin film to be opaque to the radiant energy by either being coated with an energy absorbing coating such as printing, or being made partially opaque by means of The container can also be adapted to absorb tinting. energy by including a darkened band adjacent the upper The device can also interpose an energy edge of the rim. absorbing body, such as a darkened aluminum screen adjacent to the film to be heated to cause the thin film to shrink. The device shrinks the thin film around the rim first, then shrinks the film across the top of the container to form a spill-resistant cover. embodiment, printed patterns on the film can be used to create perforations.

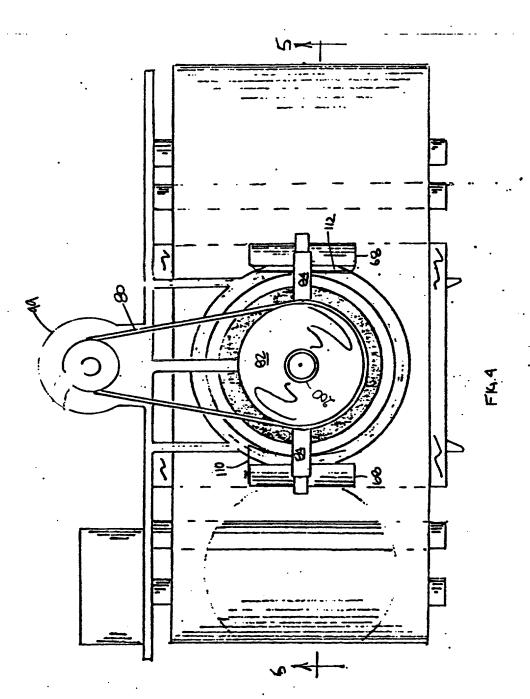


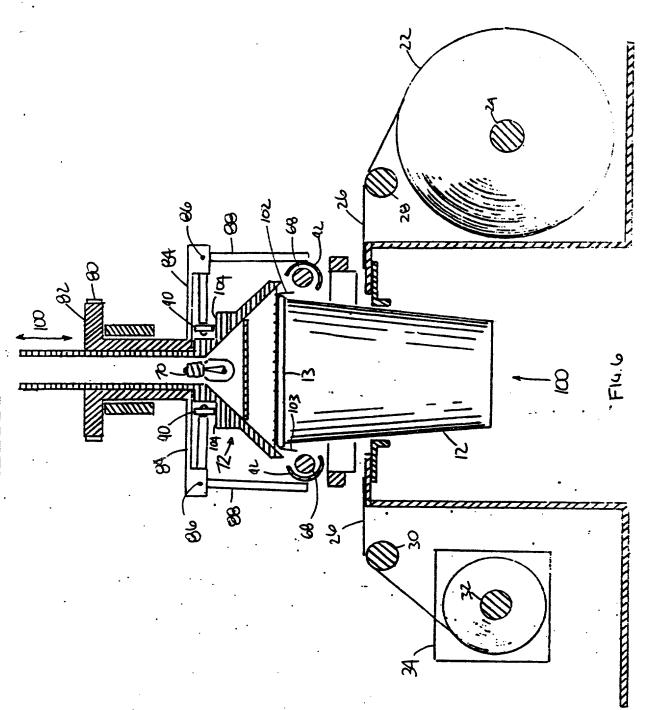


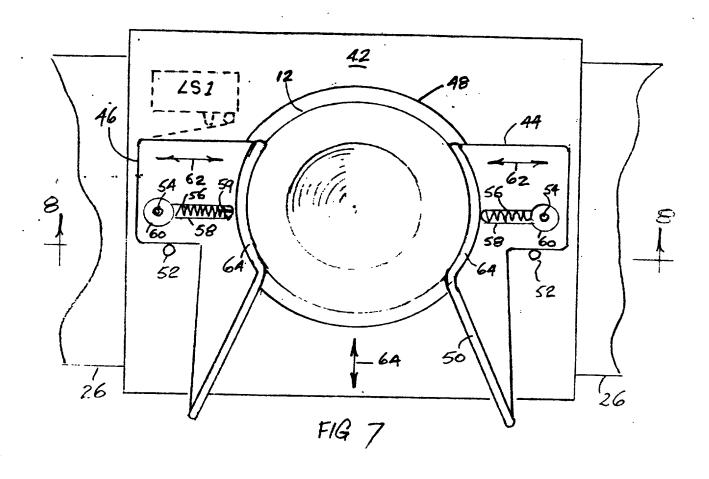
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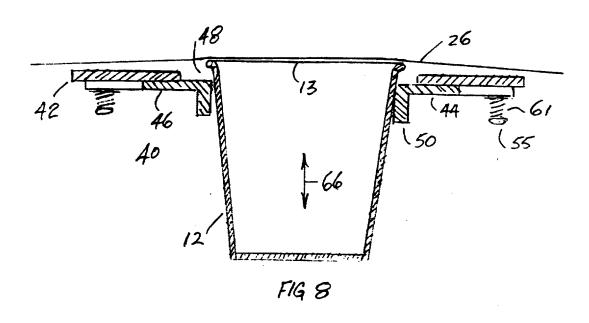


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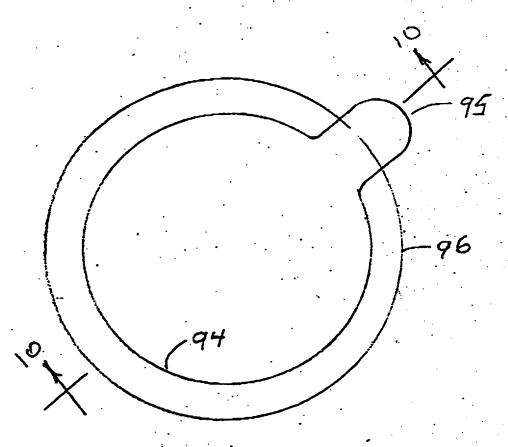




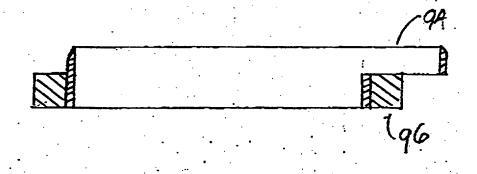


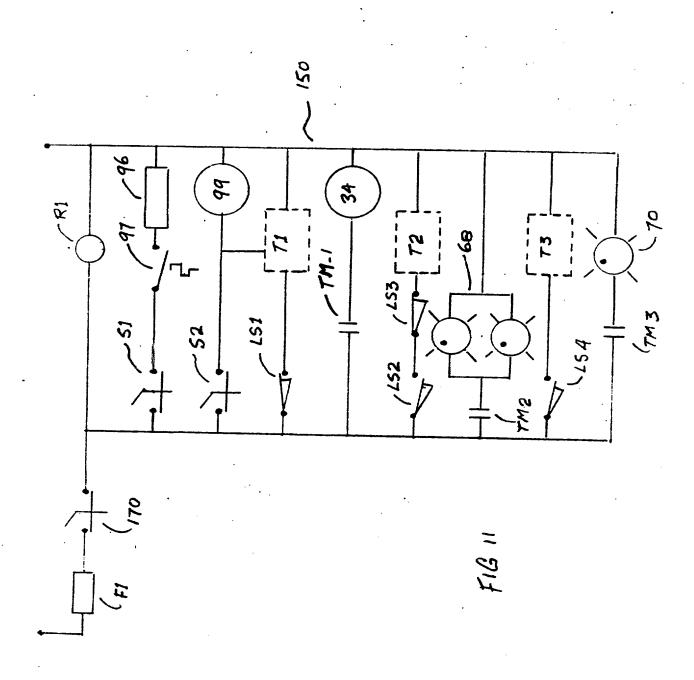


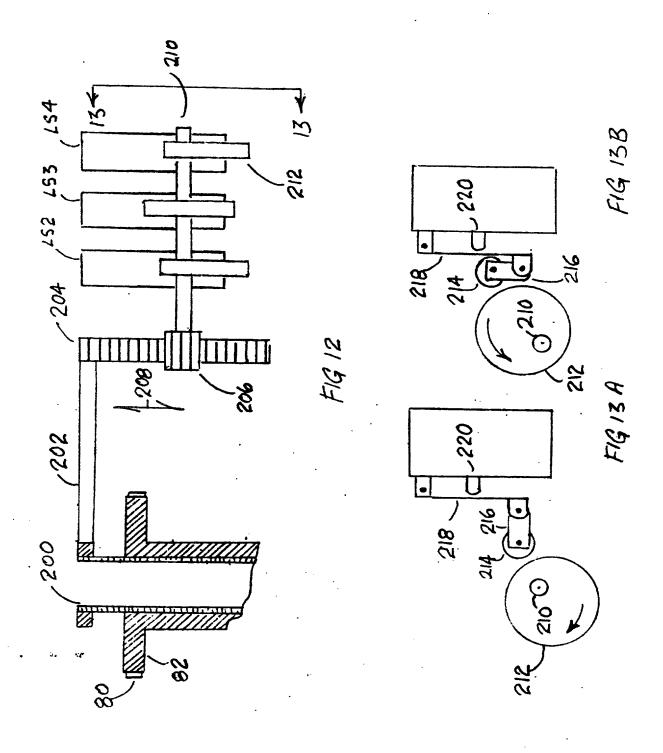
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### **DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that: esidence, post office address and citizenship are as stated below next to my name. elieve I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural names ...e listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DEVICE FOR HEAT SHRINKING FILM ONTO AN OPEN-TOPPED CONTAINER the specification of which (check one) is attached hereto was filed on Application Serial No. I hereby state that I have reviewed and understand the contents of the above identified specifications, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, S1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, S119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Prior Foreign Application(s) Priority Claimed (Number) (Day/Month/Year Filed) Ñο (Country) (Number) (Country) (Day/Month/Year Filed) Additional applications identified on attached sheet. I hereby claim the benefit under Title 35, United States Code, S120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code S112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, S1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application. Application Serial No. Filing Date Status - Patented, pending, abandoned Application Serial No. Filing Date Status - Patented, pending, abandoned Additional applications identified on attached sheet. I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith: C. Lloyd Sarginson Timothy J. Sinnott Daniel R. Bereskin 22.257 29,245 Philip Mendes da Costa 33,106 22,836 Richard J. Parr 31.083 Robert B. Storey 33.108 David W.R. Langton 27,747 31,696 H. Samuel Frost Linda M. Kurdydyk 34,971 H. Roger Hart 26,426 James T. Nenniger 32,670 All of Bereskin & Parr 22,533 Address all telephone calls to James T. Nenniger at Telephone No. (416)364-7311 Address all correspondence to Bereskin & Parr, Box 401, 40 King Street West, Toronto, Ontario, Canada M5H 3Y2 I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon. A SECONDARY William J. Bakker Horis 1992 ESIDENCE R.R. #1, Orangeville, Ontario L9W 2Y8, Canada CHILDING YAR above N.A.Williamson, if an TOR'S SIGNATURE Mexicanin ARSIDEMOR 42, Addison Crescent, Don Mills, Ontario, TRINIDA DIAN M3B 1K8, Canada As above TLL MANK OF THIRD JOINT INVESTOR, IF ANY TION'S SIGNATE RETINENCE OST OFFICE ADDRESS

See attached sneet for similar information and signature for additional joint inventors.